

Aspen Santa Fe Ballet Conflict of Interest Disclosure Statement

Preliminary note: This statement of disclosure also requires you to provide information with respect to certain parties that are related to you. These persons are termed “affiliated persons” and include the following:

- a. your spouse, domestic partner, child, mother, father, brother or sister;
- b. any corporation or organization of which you are a board member, an officer, a partner, participate in management or are employed by, or are, directly or indirectly, a debt holder or the beneficial owner of any class of equity securities; and
- c. any trust or other estate in which you have a substantial beneficial interest or as to which you serve as a trustee or in a similar capacity.

1. NAME OF EMPLOYEE OR BOARD MEMBER: (Please print)

2. CAPACITY: (Check all that apply)

Board of Trustees Committee Member
 Executive Committee Staff (position):
 Officer

	YES	NO
3. Have you or any of your affiliated persons provided services or property to Aspen Santa Fe Ballet in the past year?		
4. Have you or any of your affiliated persons purchased services or property from Aspen Santa Fe Ballet in the past year?		
5. Did you or any of your affiliated persons have any direct or indirect interest in any business transaction(s) in the past year to which Aspen Santa Fe Ballet was or is a party?		
6. Were you or any of your affiliated persons indebted to pay money to Aspen Santa Fe Ballet at any time in the past year (other than pledges or payments for services)?		
	YES	NO
7. In the past year, did you or any of your affiliated persons receive, or become entitled to receive, directly or indirectly, any personal benefits from Aspen Santa Fe Ballet or as a result of your relationship with Aspen Santa Fe Ballet, that in the aggregate could be valued in excess of \$1,000, that were not or will not be compensation directly related to your duties to Aspen Santa Fe Ballet?		
8. Are you or any of your affiliated persons a party to or have an interest in any pending legal proceedings involving Aspen Santa Fe Ballet?		

9.	Do you or any of your affiliated persons sit on a board, committee or manage any agencies that Aspen Santa Fe Ballet funds or has funded in the past?		
10.	Are you aware of any other events, transactions, arrangements or other situations that have occurred or may occur in the future that you believe should be examined by Aspen Santa Fe Ballet's board or a duly constituted committee thereof in accordance with the terms and intent of Aspen Santa Fe Ballet's Conflict of Interest Policy?		

If you answered YES to any of the above questions, please fill out the following section (may attach additional sheets if needed):

Name of Interested Person	Relationship between interested person and Aspen Santa Fe Ballet	Amount of transaction	Description of Transaction

11.	Do you have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	YES	NO
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If you answered YES to question 11, please explain below:

I HEREBY CONFIRM that I have read and understand Aspen Santa Fe Ballet's Conflict of Interest Policy and that my responses to the above questions are complete and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that this disclosure is inaccurate or that I have not complied with this policy, I will notify the Chief Executive Officer or Board Chair immediately.

Signature

Date